

DRUG & ALCOHOL CLEARINGHOUSE

First Year in the Rearview Mirror

April 22, 2021



U.S. Department of Transportation
Federal Motor Carrier Safety Administration

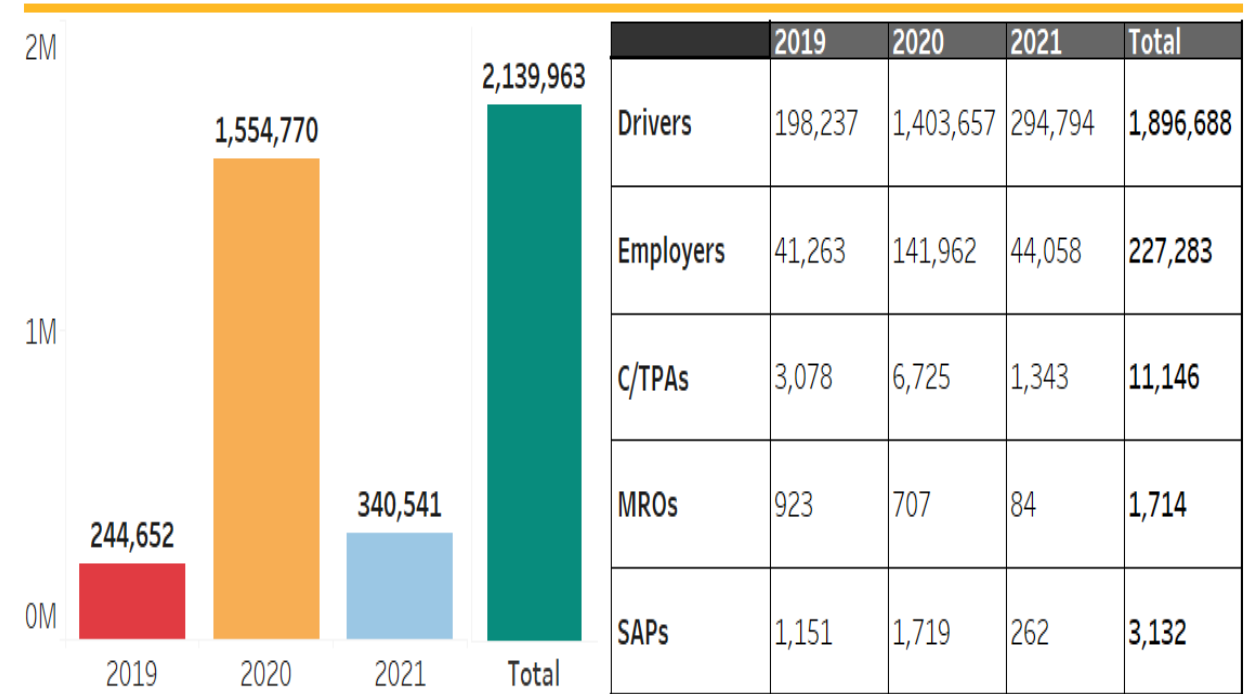
Current Status

- Clearinghouse Implementation was January 6, 2020
 - Motor carriers and MROs required to report certain drug and alcohol violations
 - Motor carriers required to query drivers prior to employment and annually
- Notice of Proposed Rulemaking issued on April 28, 2020
 - Controlled Substances and Alcohol Testing: State Driver's Licensing Agency Non-Issuance/Downgrade of Commercial Driver's License
 - Requirements for SDLAs to downgrade licenses based on Clearinghouse data
 - Final rule to be determined

Registrations – March 2021 Summary Report

- Number of registrations: 2.1 million
 - Over 1.8 million CDL drivers registered
 - Employers – 227,000
 - 95,000 Self-employed
 - Estimate 600K employers subject
 - Over 90% have 10 or fewer power units

Driver and Organization Registrations

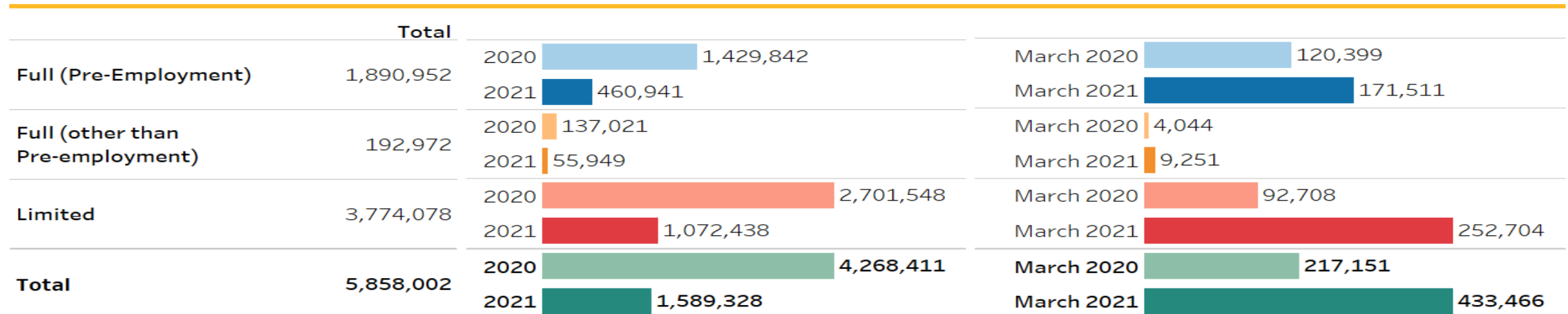


Number of completed registrations through March 2021, as of April 1, 2021.

Queries – March 2021 Summary Report

- Number of queries conducted: over 5.8 million
- Pre-employment: 1.4 million
- Limited: 2.7 million
- Distinct Drivers queried – over 3 million

Queries Conducted



Queries conducted through March 2021, as of 4/1/2021.

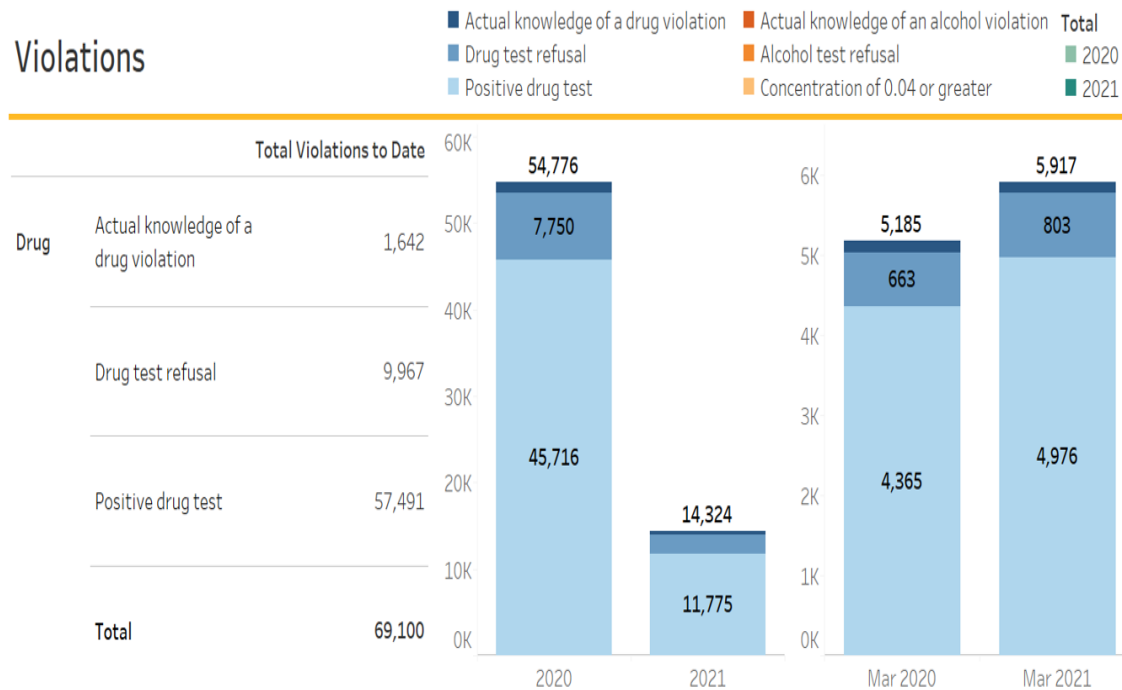
Violations – March 2021 Summary Report

- Over 70,000 violations reported

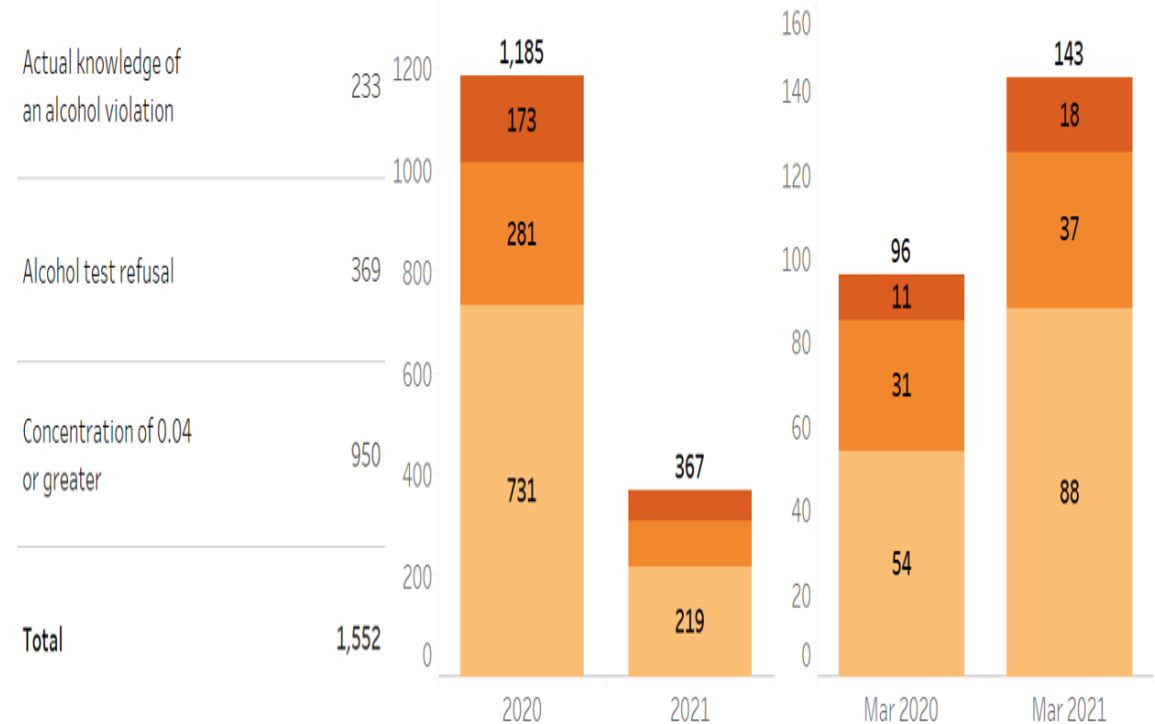
Violations Reported to the Clearinghouse

■ Actual knowledge of a drug violation ■ Actual knowledge of an alcohol violation
■ Drug test refusal ■ Alcohol test refusal
■ Positive drug test ■ Concentration of 0.04 or greater

Violations



Alcohol



Substances Identified – March 2021 Summary Report

- Positive drug tests account for 81% of the total violations reported.
- Top three substances reported (2020 & YTD 2021):
 1. Marijuana *
 2. Cocaine
 3. Methamphetamine
- State legalization
<https://www.transportation.gov/odapc/dot-recreational-marijuana-notice>
- CBD
<https://www.transportation.gov/odapc/cbd-notice>

SUBSTANCES IDENTIFIED IN POSITIVE DRUG TESTS
(as of 4/1/2021)

Substance	2020	2021	# Tests Identified (Total since Jan 6, 2020)
Marijuana Metabolite (Δ 9-THCA)	29,511	7,750	37,261
Cocaine Metabolite (BZE)	7,940	1,908	9,848
Methamphetamine (MET/MAMP)	5,187	1,323	6,510
Amphetamine (AMP)	4,953	1,311	6,264
DILUTE	2,398	687	3,085
Oxymorphone (OXYM)	1,372	322	1,694
Oxycodone (OXYC)	1,106	264	1,370
Hydrocodone (HYC)	1,082	241	1,323
Hydromorphone (HYM)	1,000	225	1,225
Morphine (MOP)	443	80	523
Codeine (COD)	386	85	471
6-Acetylmorphine (6-AM)	302	47	349
Phencyclidine (PCP)	137	36	173
Ecstasy (MDMA)	65	14	79
Not Identified	43	0	43
Methylenedioxyam- phetamine (MDA)	30	10	40
All substances	55,955	14,303	64,273

Note: More than one substance can appear in a positive drug test

Return to Duty Process – March 2021 Summary Report

- Over 64,000 drivers with at least one violation reported
- Only 10,609 or 16% of those eligible have completed the process to return to duty
- 8,619 are eligible with a return to duty test
- Over 900 violations reported on the return to duty test

RTD STATUS	# DRIVERS
All Drivers (with at least 1 violation)	64,846
Drivers in Prohibited Status	54,237
RTD Process Not Started	41,029
Substance Abuse Professional (SAP) Request Sent	497
SAP Designation Confirmed	1,211
SAP Request Declined	205
Initial SAP Assessment Complete	2,676
Determined Eligible for RTD Testing	8,619
Drivers in Not-Prohibited Status*	10,609
RTD Test with Negative Results	10,609
Follow-Up Testing Plan Complete	0

* A driver is no longer prohibited from performing safety-sensitive functions once they have a negative RTD test result.

Common Issues

- Incorrect roles during registration
 - Employer registering as assistant instead of administrator
 - Drivers as employer and MRO – self reporting
- Changes to registration information
 - Phone and email updates
 - Employer new employee
 - Driver new phone
 - Authentication method
 - If you are using codes – request more before you run out
- Duplicate reporting of violations

Common Issues

- Driver/Carrier unable to validate a CDL
 - Early on user input issues (spaces, leading zero's, incorrect entry)
 - A year in, predominately State Driver's License Authority issues
- MRO reporting incorrect or no CDL number
- Reporting Refusals
 - MRO Reported
 - Employer Reported
- Questions about next step after a positive or refusal
 - Driver required to obtain a SAP
 - Employer required to send driver for RTD test and report to Clearinghouse

Frequently Asked Questions

- **Will a prospective employee's drug and alcohol violation history with other DOT modes be available in the Clearinghouse?**
 - No, the Clearinghouse will contain only drug and alcohol program violation information for employees subject to the testing requirements under the Federal Motor Carrier Safety Regulations in 49 CFR part 382.

- **Will every driver need to register in the Clearinghouse?**
 - No. A driver will only need to register if they need to provide consent to the employer in the Clearinghouse for pre-employment/full queries.
 - If a driver is currently with an employer, never incurs a drug or alcohol violation, and never seeks other employment, then the driver does not need to register in the Clearinghouse.

- **Can an employer register their drivers in the Clearinghouse?**
 - No. Each individual driver will need to register himself or herself. Registration and login will require users to complete the verification process

Frequently Asked Questions

- **Will the employer be notified if a new violation is recorded on previously queried driver?**
 - The employer will receive a notification if a new violation was recorded in the Clearinghouse for the queried driver within 30 days of conducting a **pre-employment query** (30-day look back).
 - The employer must receive additional electronic consent from the driver before a full query can be conducted to view detailed violation information.

- **Will the employer receive notification of new violations within 30 days of all completed queries?**
 - The employer will not receive a notification of new violations after completing a limited (including annual) query or ad hoc full query.
 - The employer will receive a notification if a new violation was recorded in the Clearinghouse for the queried driver within 30 days of conducting a **pre-employment query** (30-day look back).

**Are you
registered?
If not,
register
today.**

- Register your company and/or yourself
- Designate C/TPA (employers, if applicable)
- Set up Assistants (employers, C/TPAs, SAPs, MROs)
- Encourage drivers to register



For more Information

- Visit the Clearinghouse Learning Center at <https://clearinghouse.fmcsa.dot.gov/Learn> for resources and answers to common questions

Contact Clearinghouse Team:

- Email clearinghouse@dot.gov
- Call 844-955-0207

